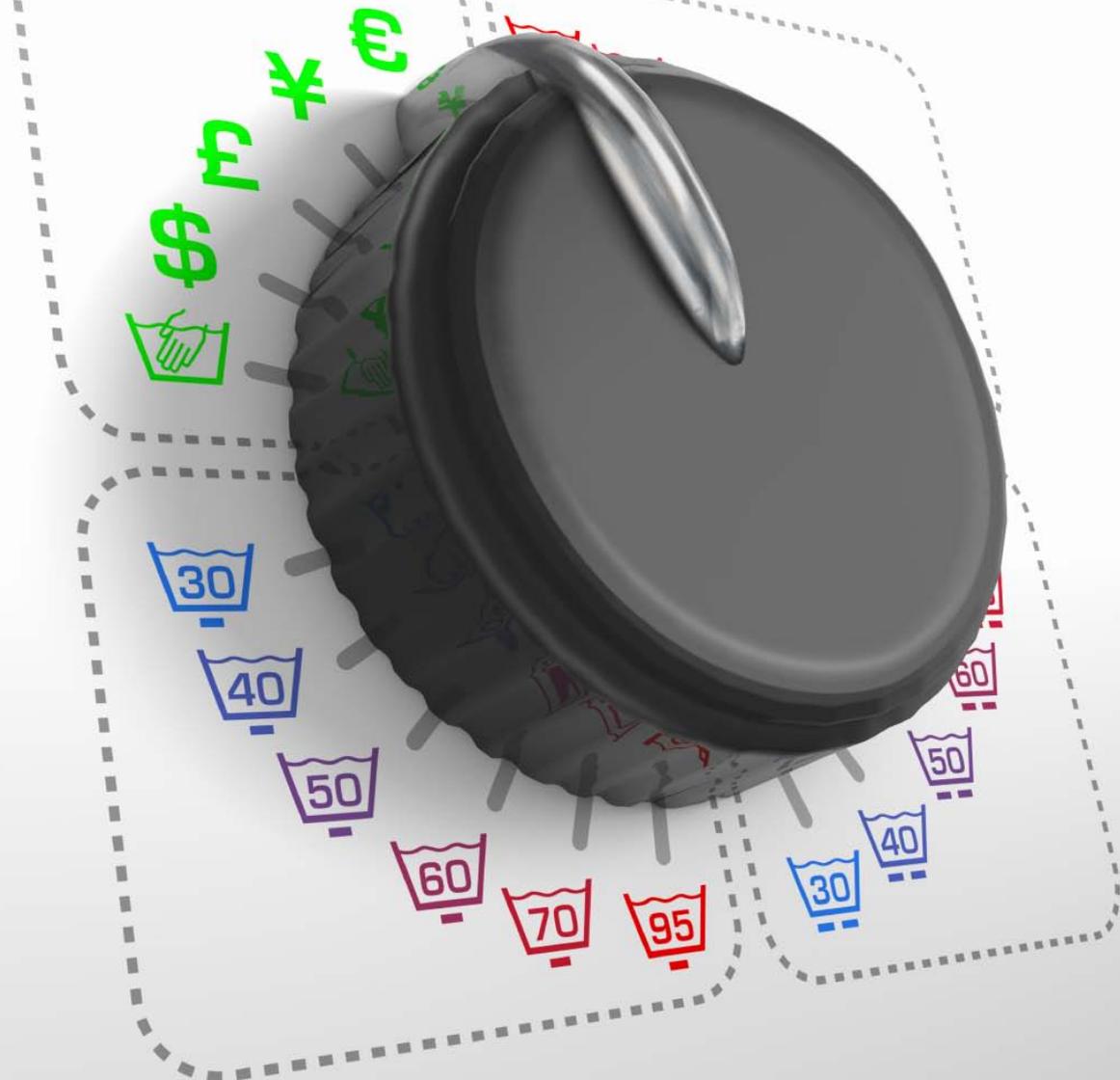
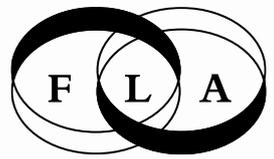


7 October 2016

Understanding Anti-Money Laundering - do you really know what you are looking for?

FLA, Imperial House, Central London



About this course:

Combating money laundering is a regulatory requirement and an integral part of protecting an organisation from fraud and reputational risk.

Delegates will be shown how to compare and benchmark controls against the standards of the relevant regulatory bodies. They will also be prompted to review their own organisational systems.

The course presentations are supported by case studies and practical guidance throughout.

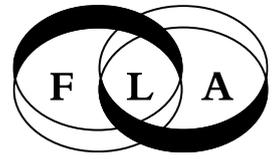
Who should attend:

- Compliance officers
- Regulatory officers
- Business risk managers with legal functions
- Money Laundering Reporting Officers (MLRO) or Nominated Officers
- Board members responsible for financial crime management

Please note: all FLA events are now subject to the application of competition law. Details of which will be available on the day and are included in the booking form.

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Course programme

- 09.30 Registration
- 10.00 Introduction
- 10.10 Update – Changes to the money laundering regime and implications for your firm
- Financial Action Task Force (FATF) revised recommendations
 - 4th Money Laundering Directive
 - Cases
 - FSA to FCA
- 10.30 Understanding and awareness of today's money laundering and terrorist financing laws
- Proceeds of Crime Act (POCA)
 - Terrorism Acts
 - Sanctions Regime
- 11.00 Break
- 11.15 From legislation to regulation
- Money laundering regulations in context
 - JMLSG Guidance
 - Guidance from regulators
 - Knowing where it can all go wrong
 - National Crime Agency (previously Serious Organised Crime Agency) ; Suspicious Activity Reporting (SAR) and Tipping Off
 - Recent and significant case law
- 12.30 Case study and discussion
- 13.00 Lunch
- 14.00 Money Laundering and Terrorist Financing
- A reminder – what to look for – the warning signs
 - A reminder – money laundering today
 - The role of the MLRO
 - Involve the MLRO
- 14.30 What firms are required to do – current thinking
- How effective is your firm's compliance approach
 - Review your risk-based approach
 - Identify and verify: individuals, entities and owners
 - Documents or reliable electronic source or both
 - The difficult cases and case study
- 15.15 Break
- 15.30 Remaining issues
- Other organisations – what do they do?
 - Staff awareness and training
 - Monitoring for success
 - Records
- 16.00 Questions and close

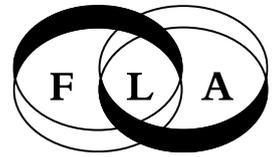


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Trainer biography

Stuart Carson, Barrister, and former head of compliance in an international law firm, will guide you through the process of reviewing your firm's internal procedures, regulation by regulation, to ensure appropriate compliance standards are in place. He will suggest ways in which you can ease the burden on your firm by planning your anti-money laundering compliance and ensuring your compliance programme meets the regulatory standards.

Stuart has been responsible for introducing reasonable and proportionate anti-money laundering systems and controls in a number of different types of organisation under a range of regulatory bodies. Throughout the presentations he will suggest ways to manage anti-money laundering and financial crime risks with minimum disruptions to your business.

In-house delivery option

This programme can also be delivered in-house. The FLA offers bespoke tailored training solutions that help you achieve your business development objectives. The FLA training team specialises in facilitating change and turning strategic intent into tangible business results.

What we can do for you:

- Design a bespoke programme that meets your organisation's specific development goals and objectives
- Design training as a one-off exercise, or on a repeated basis as part of your company's development curriculum or company-wide courses, or as part of a major change programme
- Personalise our approach by incorporating your corporate culture and goals
- Provide complete flexibility with regards to location and timings
- Offer differing course levels to cater for the varied experience levels of your staff

For more detailed information regarding any in-house training please contact Jon Dear on 0207 420 9623 or email training@fla.org.uk

Other FLA dates for your diary:

Operational Risk Management

Operational Risk is defined as the risk of loss resulting from inadequate or failed internal processes, people or systems.

This is a comprehensive introductory course which deals with an essential area of non-financial risk management.

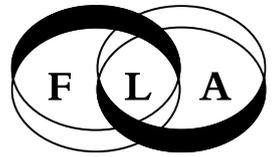
Dates:

15 November 2016

7 October 2016

Understanding Anti-Money Laundering - do you really know what you are looking for?

FLA, Imperial House, Central London



BOOKING DETAILS - PLEASE USE BLOCK CAPITALS

Company name:

Contact name:

Job title:

Address:

Postcode:

Telephone:

Email:

DELEGATE 1

First name:

Surname:

Company:

Job title:

Telephone:

Email:

Your special needs or dietary requirements:

All FLA meetings and events, including social events, are subject to the application of competition law and therefore must be conducted in compliance with competition law. Any business information which is sensitive or strategically useful must not be discussed, including any reference to pricing, margins, discounts, trading terms, use of third party suppliers or market share. You are reminded that failure to comply with competition law may bring with it serious consequences for individuals and their companies. Such consequences include heavy fines and, in certain cases, the imposition of criminal penalties and sentences.

To register

Phone: Jon Dear on +44 (0)20 7420 9623

Fax: +44 (0)20 7420 9631

Web: www.fla.org.uk/training

Email: training@fla.org.uk

Post: Jon Dear FLA, Imperial House, 15-19 Kingsway, London WC2B 6UN

COURSE FEES

FLA Member's fee per delegate: £440.00 plus VAT

Non-FLA Member's fee per delegate: £595.00 plus VAT

PAYMENT - Upon registering you will be sent an invoice; payment is required before attending the event.

Please invoice Provide purchase order no: Payment by cheque Visa Mastercard

Credit Card no: Amount £: (Please include VAT)

Name (as on card) Expiry date on card:

Credit Card's registered address (postcode & house/building number only):

Postcode: Street/House/Building No: Security code (last 3 digits on reverse of card):

Signature: Today's date:

Cardholder name and address (including company name if applicable) if different from above:

Name:

Address:

Postcode:

Cancellations received more than 30 days prior to the course start date incur an administrative charge of £70.00 +VAT per delegate. Cancellations 15-30 days incur a charge of 50% of the course fee in addition to the administrative £70+VAT charge. There is no refund on cancellations made 14 days prior to the course start date.

Postponing from one course to another is treated as a cancellation.

You can substitute one delegate for another at no additional cost. If you would like to do this, please let us know before noon on the business day prior to the start of the course.

Data Protection: The Finance & Leasing Association will use the data you provide to process your registration. We would also like to use the data to keep you informed (by post, telephone, email or fax) of other FLA training courses, products and services. If you do not want us to do so, please tick this box:

Please note: all FLA events are now subject to the application of competition law. Details of which will be available on the day and are included in the booking form.